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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert Karch
Title of Invention : RULE BASED SECURITY POLICY
ENFORCEMENT
Filed : February 1, 2000
Serial No. : 09/495,509

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

SIR:

Enclosed is a Notice to File Missing Parts of Application, a Declaration and Power of Attorney, an Assignment document with Recordation form, Verified Statement Claiming Small Entity Status form and a check for \$321.00 for the above-referenced patent application.

Respectfully submitted,

KAPLAN & GILMAN, L.L.P.
900 Route 9 North
Woodbridge, NJ 07095
Telephone (732) 634-7634

Dated: May 10, 2000

Jeffrey I. Kaplan
Reg. No. 34,356

JIK/pa

Enclosures

PAUKAPLANTELERAN TECHNOLOGIESINFMP CVR FOR RULE BASED SEPARATION OF DUTIES.DOC

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal service as first class mail, in a postage prepaid envelope, addressed to Box MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 May 10, 2000.

Dated May 10, 2000

Signed

Paula M. Halsey

Print Name Paula M. Halsey

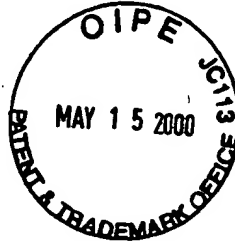
FORMALITIES LETTER



OC000000005024703

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/495,509	02/01/2000	Robert Karch	125/1

Kaplan & Gilman LLP
900 Route 9 North
Woodbridge, NJ 07095

Date Mailed: 04/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 345 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
■ \$432 for 24 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 907.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/18/2000 MBERHE 00000063 09495509

01 FC:203 216.00 OP
02 FC:205 65.00 OP